

Combinatorial content of *CCL3L* and *CCL4L* gene copy numbers influence HIV-AIDS susceptibility in Ukrainian children

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Objective: *CCL3L* and *CCL4L* genes encode HIV-suppressive chemokines, colocalize on chromosome 17q12 and have copy number variation. Copy number variation of *CCL3L* associates with HIV-AIDS susceptibility. Here, we determined the influence of the combinatorial content of distinct *CCL3L* and *CCL4L* genes on HIV-AIDS susceptibility.

Methods: By designing gene-specific assays, the association between doses of all *CCL3L* or *CCL4L* genes or their individual duplicated components (*CCL3La/b* and *CCL4La/b*) with HIV-AIDS susceptibility was determined in 298 perinatally exposed Ukrainian children.

Results: The odds of transmission was increased in children with less than two copies of *CCL3L* or *CCL4L*, compared with those with at least two copies, and 10-fold higher when both mother and offspring had less than two *CCL3L* or *CCL4L* copies, compared with mother–child pairs with at least two copies. The extent of the pair-wise correlations between *CCL3La*, *CCL3Lb*, *CCL4La* and *CCL4Lb* copy number varied extensively, with an inverse correlation between *CCL4L* genes that transcribe a classical chemokine (*CCL4La*) versus aberrantly-spliced transcripts (*CCL4Lb*). Children possessing only *CCL4Lb* progressed four times faster to AIDS than those with only *CCL4La*. A lower content of *CCL3L* and *CCL4L* genes that transcribe classical chemokines was associated with enhanced HIV-AIDS susceptibility.

Conclusion: Transmission risk is greatest when mother and offspring both have low *CCL3L* or *CCL4L* gene doses. The impact on HIV-AIDS susceptibility of the chemokine gene-rich locus on 17q12 is dependent on the balance between the doses of genes conferring protective (*CCL3La* and *CCL4La*) versus detrimental (*CCL4Lb*) effects. Hence, the combinatorial genomic content of distinct genes within a copy number variable region may determine disease susceptibility.

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Introduction

The results of the recent STEP trial [1] showing that a HIV-1 vaccine may have increased the risk of acquiring HIV prompted a call to define with greater precision the correlates of protection. A powerful approach to accomplish this is to identify host genetic determinants that alter risk of HIV acquisition and disease progression rates. Identification of such determinants in children exposed perinatally to HIV can be especially informative because approximately 70–90% of such children resist infection, despite high exposure to virus and absence of antiretroviral prophylaxis [2]. Here, we investigated such children to determine the impact on HIV-AIDS susceptibility of duplicated genomic regions that are enriched for chemokine genes for whom in-vitro studies [3–10] implicate a central role for their encoded products in HIV-AIDS pathogenesis.

Copy numbers of DNA segments [denoted as copy number variations (CNVs)] are implicated in disease susceptibility [11–16]. A CNV with relevance to HIV-AIDS susceptibility is for the segmental duplication that contains the gene encoding CC chemokine ligand 3-like 1 (*CCL3L1*). *CCL3L1* [macrophage inflammatory protein 1 alpha P (MIP-1αP)], a nonallelic isoform of *CCL3* (MIP-1αS), is the most potent agonist of CC chemokine receptor 5 (CCR5), the major HIV coreceptor, and among CCR5 chemokine ligands it exhibits maximal HIV-suppressive properties [5–8]. Association studies for the Δ32 and other polymorphisms in *CCR5* [17–23] established a key role for CCR5 expression in HIV pathogenesis. By analogy, the genotype–phenotype associations for the copy number of the *CCL3L1*-containing segmental duplication suggest a role for this structural variation in HIV-AIDS pathogenesis. Prior studies indicate that a low copy number of *CCL3L1* is associated with an increased risk of acquiring HIV infection [24–28], higher viral loads [24,26,29,30], a faster rate of decline of CD4⁺ T-cell counts or progression to AIDS [24,30,31], impaired recovery of CD4⁺ T-cell counts [31] and function [30] during antiretroviral therapy (ART), lower HIV-specific CD4⁺ and CD8⁺ T-cell responses [29], reduced *CCL3* chemokine levels [24,25,32], higher numbers of HIV target cells (%CD4⁺/CCR5⁺ cells) [24,33] and reduced cell-mediated immune responses [30]. Extending this concept to nonhuman primates, a low copy number of *CCL3L* genes in Asian and Chinese macaques is associated with an accelerated progression to experimental AIDS in these animals [34].

However, these previous studies have not accounted for the full impact on HIV-AIDS susceptibility of CNV of other chemokine genes that along with *CCL3L1* localize to chromosome 17q12, a hot spot for segmental duplications [11,12]. These genes include two other *CCL3L* (*CCL3L2* and *CCL3L3*) genes and two *CCL4L* (MIP-1β-like) genes designated as *CCL4L1* and

CCL4L2 [3,4,9,10,32]. One possibility was that if the CNV of all the *CCL3L* and *CCL4L* genes reside on a single segmental duplication, then the associations of these CNVs with HIV-AIDS susceptibility should be identical. However, this is unlikely because this genomic region is replete with many potential breakpoints that may lead to nonidentical duplicated segments [36]. Underscoring this, although there is a high degree of correlation between the copy number of *CCL3L* and *CCL4L* genes, individuals contain more copies of *CCL3L* than *CCL4L* [32]. We therefore tested the hypothesis that the overall phenotypic impact of the chemokine gene-rich locus at chromosome 17q12 region on HIV-AIDS susceptibility will be influenced by the combinatorial content of different *CCL3L* and *CCL4L*-containing segmental duplications. To test this, we developed specific probes for the distinct *CCL3L* and *CCL4L* genes and determined their associations with HIV-AIDS susceptibility in a cohort of children exposed perinatally to HIV-1 from the Ukraine.

Methods

Cohort

We studied a cohort of 298 children from Ukraine who were exposed perinatally to HIV-1 between 1998 and 2006. Of these, 178 (59.7%) were infected. This distribution is not indicative of the perinatal transmission rate for HIV-1 infection, as the recruitment of children was based on HIV status. DNA was also available from the mothers of 89 children. To minimize potential confounding due to population stratification, only children and mothers of Slavic descent, the most common ethnic group found in Ukraine, were included in the study. These patients were recruited at the main outpatient HIV-1 reference centers ('Municipal Centers for HIV Management and Prophylaxis') in the Dnepropetrovsk region. All pregnant women within this region who test positive for HIV are referred to these centers. Additionally, all HIV-positive children born to these mothers also receive their medical care in these centers. Informed written consent was obtained from parents or legal guardians of all the children, and directly from the adults. The study was approved by the Institutional Review Boards of the participating institutions. The characteristics of the study individuals are given in Table 1.

Study outcomes

The main outcome measures were HIV serostatus and progression to AIDS [1993 criteria of the Center for Disease Control and Prevention (CDC) classification for children].

Genotyping

Taq Man real-time assays similar to those described previously [24] and described in the Supplementary

Table 1. Characteristics of the children included in the study.

Parameter	HIV infected	HIV uninfected	P
Number of children	178 (59.7%)	120 (40.3%)	–
Did not receive ZDV prophylaxis	123 (69.1%)	44 (36.7%)	3.2×10^{-8}
Received breastfeeding [n (%)]	17 (9.6%)	5 (4.1%)	0.077
Hemoglobin (g/dl) [mean (SE)]	10.8 (0.09)	11.1 (0.11)	0.030
RBC count [$\times 10^6/\mu\text{l}$; mean (SE)]	4.21 (0.08)	4.36 (0.29)	0.264
Baseline CD4 ⁺ T-cell count (cells/ μl) [mean (SE)]	968.2 (62.2)	2515.8 (11.2.9)	$<1 \times 10^{-22}$
Baseline CD4 percentage [mean (SE)]	22.9 (0.82)	38.8 (0.75)	$<1 \times 10^{-22}$
Average age at initiation of HAART [years, mean (SE)]	5.00 (0.35)	–	–
Children developing AIDS [n (%)]	56 (31.5%)	–	–
Total duration of follow-up (person years)	735.33	–	–
Duration of follow-up [years, median (IQR)]	7.63 (5.29)	–	–

ZDV, zidovudine; P, significance value; SE, standard error.

Materials and Fig. S1 were used to quantify the CNV of *CCL3L* and *CCL4L* genes. Primer and probe sequences are listed in Supplementary Table 1.

Statistical analysis

The association between gene copy number and risk of acquiring HIV infection was assessed by using logistic regression analyses, and rate of disease progression was investigated by using Kaplan–Meier survival curves and Cox proportional hazards modeling. Attributable fraction was estimated as described previously [24]. The pattern of correlations among the copy numbers of the different *CCL3L* and *CCL4L* genes was determined using principal components analyses. For this, a factor solution provided by using a minimum eigenvalue of one with the results optimized using the varimax rotation was used. Details of factor analyses are as described in the Supplementary Material. All statistical analyses were conducted using the Stata 7.0 (Stata Corporation, College Station, Texas, USA) software package.

Results

Nomenclature and PCR assay precision

In this study, we denoted *CCL3L1* (MIM:601395), *CCL3L2* (MIM:609467), *CCL3L3* (MIM:609468), *CCL4L2* (MIM:610757) and *CCL4L1* (MIM:603782) as *CCL3La*, *CCL3Lb*, *CCL3Lc*, *CCL4La* and *CCL4Lb*, respectively (Fig. 1a and b), for the following reasons. In prior studies [24–32], because of the nature of the PCR primer probes used, we and others assessed the copy number of all *CCL3L* genes (*CCL3L1*, *CCL3L2*, *CCL3L3*) and had previously designated this composite as the *CCL3L1*-containing segmental duplication (Fig. 1a and b). *CCL3L1* and *CCL3L3* are separate genes, each having three identical exons that encode identical proteins [3,5], and therefore they are together denoted here as *CCL3La* (*CCL3L1* + *CCL3L3* = *CCL3La*; Fig. 1a–c). On the basis of current literature, *CCL3L2*, designated here as *CCL3Lb*, is thought to contain only

two exons whose sequences are identical to those found in exons 2 and 3 in *CCL3L1* and *CCL3L3* [3,5]. Because *CCL3Lb* (*CCL3L2*) lacked the first exon found in *CCL3La* (*CCL3L1* or *CCL3L3*), it has been considered as a pseudogene [3,5]. However, by bioinformatics and mRNA profiling, we identified novel 5' exons for *CCL3Lb*, which give rise to two alternatively spliced transcripts (*CCL3Lb-v1* and *CCL3Lb-v2*, Fig. 1c and data not shown). These alternatively transcribed mRNA species contain chemokine-like domains but are not predicted to encode classical chemokines (data not shown). Hence, *CCL3La* and *CCL3Lb* transcribe two distinct kinds of transcripts; the former encoding classical chemokines and the latter encoding alternatively spliced transcripts with novel 5' exons (Fig. 1c).

Similarly, *CCL4L* represents a composite of *CCL4Lb* (*CCL4L1*) and *CCL4La* (*CCL4L2*), two distinct genes that have identical exonic sequences (Fig. 1a and b, [3,5]). Although these two genes have identical exonic sequences, a (A→G) transition in the splice acceptor site in the intron II of *CCL4Lb* relative to *CCL4La* leads to generation of aberrantly spliced *CCL4Lb* transcripts, that is, formation of transcripts with retention of portions of intron II or partial loss of exon 3 (Fig. 1d). Hence, *CCL4La* produces transcripts that are predicted to encode a classical chemokine, whereas *CCL4Lb* transcribes aberrantly spliced mRNA species (Fig. 1d).

On the basis of the aforementioned, we developed two separate assays to quantify the total copy number of all *CCL3L* or *CCL4L* genes, and separate assays each for the individual components of *CCL3L* (*CCL3La* and *CCL3Lb*) and *CCL4L* (*CCL4La* and *CCL4Lb*). The PCR assays we developed estimated the copy number of these individual chemokine gene CNVs with high precision (Fig. S1). The estimates of the sum of the copy number of *CCL3La* and *CCL3Lb* or *CCL4La* and *CCL4Lb* using these individual PCR assays were very similar to the estimate of the total copy number of *CCL3L* or *CCL4L* genes, respectively, with 100% concordance between the estimates for zero, one, two or at least two copies (data not shown).

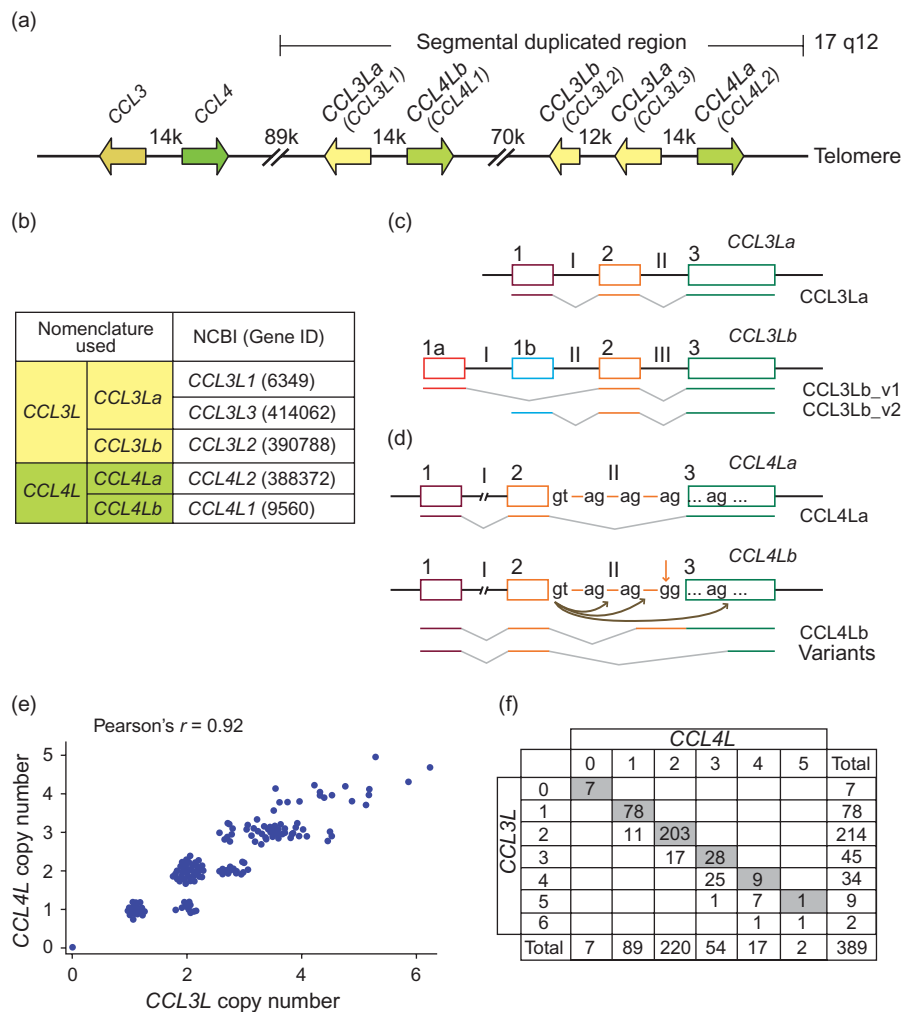


Fig. 1. Chromosome 17q12 segmental duplication, chemokine gene copy number variation nomenclature and correlation between copy number of CCL3L and CCL4L. (a) Schematic representation of CCL3, CCL4, CCL3L and CCL4L genes. Arrows indicate the orientation of each gene. Shown on top is the distance between the indicated genes. Map is not to scale. (b) Nomenclature of CCL3L and CCL4L genes used here and previously [9,24,26,28,30,31]. (c) and (d) Schematic representation of the exon–intron structure of (c) CCL3L and (d) CCL4L genes. In (d), the downward pointing arrow indicates the A→G transition that leads to the generation of aberrantly spliced CCL4Lb transcripts, and the splicing patterns of these mRNA species are indicated by the curved arrows. Thus, a CCL4L copy, designated here as CCL4La, has the intact AG intron–exon splice sequence and is predicted to transcribe an intact full-length CCL4L transcript. By contrast, the other CCL4L copy, designated here as CCL4Lb, has a mutated intron–exon splice site sequence (GG), and this results in the formation of a set of aberrantly spliced transcripts that are predicted to produce CCL4L proteins that may not function as classical chemokines (as described by Colobran *et al.* [9]). Boxes represent exons, which are identified by Arabic numerals and the lines joining the boxes are the introns, which are identified by Roman numerals. Figure not to scale. (e) Scatter plot showing the correlation of the CCL3L and CCL4L genes copy numbers for all study individuals. (f) Distribution of CCL3L and CCL4L gene copy number among all study individuals. Grey represents number of individuals who have the same dose of CCL3L and CCL4L. The numbers below the grey boxes represent the number of individuals that have more copies of CCL3L than CCL4L.

CCL3L–CCL4L dose and HIV-AIDS risk

The distribution of CCL3L copy number in Ukrainian HIV-positive or HIV-negative children and HIV-positive mothers were similar to those observed in individuals of European descent [24], and the average copy number of CCL3L in this population was two (Fig. S2a). Although the copy number of CCL3L and CCL4L were highly

correlated (92%, $P < 0.0001$), the gene dose of CCL3L was higher than that for CCL4L (Fig. 1e and f). Given this high correlation, predictably, the distribution of CCL4L and CCL3L were very similar (compare Fig. S2a and b), and therefore associations of the total CCL4L gene dose with HIV-AIDS susceptibility would be expected to be similar to those detected for the total CCL3L gene dose.

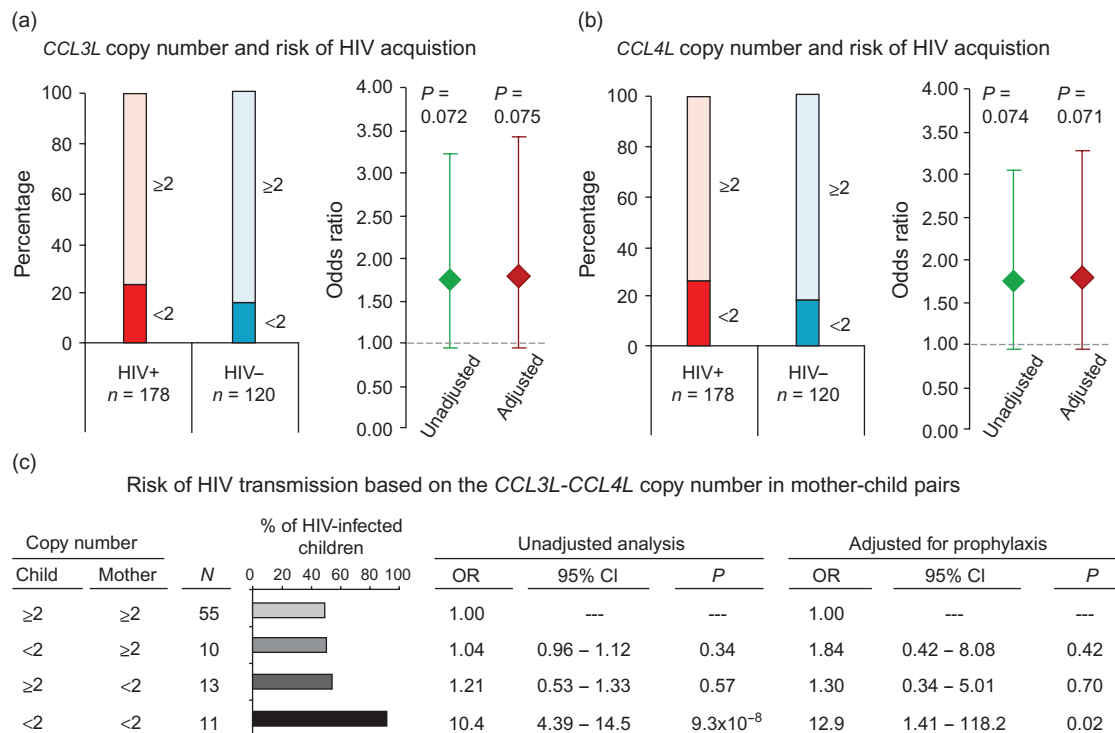


Fig. 2. Association of the CCL3L and CCL4L gene copy number with risk of acquiring HIV infection. (a and b) The risk of HIV acquisition based on the (a) CCL3L and (b) CCL4L copy number in children. Left panel shows the distribution of CCL3L or CCL4L copy number categorized as less than two or at least two in the HIV-positive and HIV-negative children. Right panel shows results of logistic regression analyses unadjusted (shown in green) and adjusted (shown in red) for receipt of ZDV prophylaxis. The diamonds and error bars represent the OR and 95% CI, respectively. (c) Association of CCL3L copy number in the mother-child pairs with the risk of vertical transmission. The data on the left shows the distribution of the 89 mother-child pairs by gene copy number and the bar chart shows the proportion of children within each of the four categories who acquired HIV infection. The risk of HIV acquisition was estimated using multinomial logistic regression analysis which was unadjusted (left) and adjusted (right) for receipt of ZDV prophylaxis. ZDV, zidovudine; CI, confidence interval; OR, odds ratio; P, significance value.

In a previous study [24], we found that in European-Americans or Argentinean children, possession of a copy number of CCL3L that was less than the average found in the overall population (two) was associated with an increased risk of acquiring HIV infection. Concordantly, among Ukrainian children exposed perinatally to HIV, possession of a copy number of CCL3L or CCL4L that was less than two was associated with a trend for a nearly 75% increased risk of acquiring HIV before or after accounting for receipt of zidovudine (ZDV) prophylaxis, respectively, compared with children who had at least two copies of CCL3L or CCL4L (Fig. 2a and b).

We next determined whether risk of transmission differed based on whether a mother and her offspring had similar or dissimilar doses of CCL3L. Compared with mother-child pairs in which both the mother and her offspring had at least two CCL3L copies, the risk of transmission was significantly higher by a factor of approximately 10–13 only when both the mother and her offspring had a CCL3L gene dose of less than two (Fig. 2c). In these 89

mother-child pairs, identical associations were detected for the copy number of CCL4L. HIV-positive children with less than two CCL3L or CCL4L copies had experienced an approximately two times faster rate of progression to AIDS than those with a higher gene dose (Fig. 3a and b).

Relationships among CCL3L and CCL4L genes

We next investigated the phenotypic impact of the different components of CCL3L and CCL4L genes. Figure S2 (c) and (d) show the distribution of the copy numbers of the different CCL3L and CCL4L genes in the study individuals. The distribution patterns of CCL3La and CCL4La were very similar to those of the total copy numbers of CCL3L and CCL4L, respectively, whereas most study individuals lacked CCL3Lb and CCL4Lb (Fig. S2).

The pair-wise comparisons of the copy number of the different CCL3L and CCL4L genes revealed the following pattern. There was a highly statistically significant positive correlation between the copy numbers

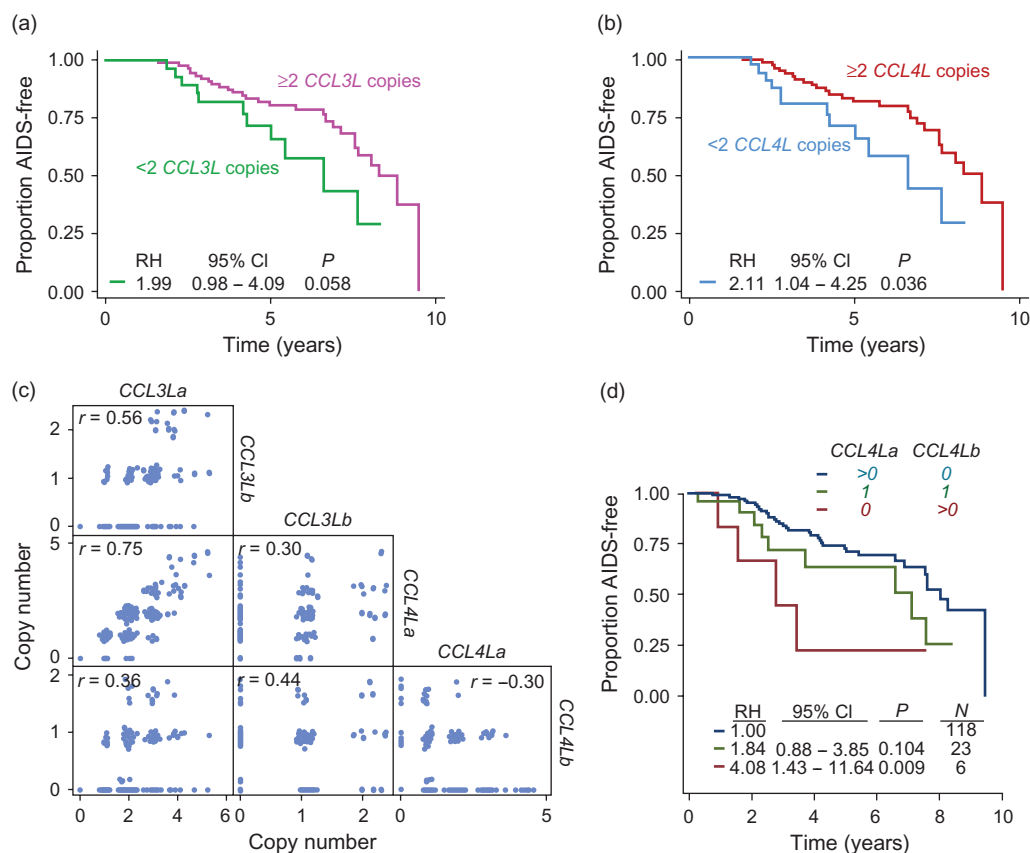


Fig. 3. Influence of the *CCL3L* copy number on HIV disease progression in children who did not receive perinatal zidovudine prophylaxis. (a) Kaplan–Meier plots for time to AIDS stratified by *CCL3L* copy number in Ukrainian HIV-1-infected children ($n = 123$). Pink curve is for HIV-positive children with at least two *CCL3L* copy number, whereas green curve is for individuals with less than two copies of *CCL3L*. (b) Same as in (a), data are for *CCL4L* copy number. (c) Matrix of correlations among the indicated *CCL3L* and *CCL4L* genes in all study participants. The pair-wise comparisons revealed a highly statistically significant positive correlation ($P < 0.001$ for each pair-wise comparison) except for the frequencies of *CCL4La* and *CCL4Lb*, which displayed an inverse correlation. (d) Kaplan–Meier plots of subgroups based on *CCL4La* and *CCL4Lb* copy number and their effects on disease progression in infected children ($n = 147$). Zero (0) indicates that the gene is not present, 1 indicates the presence of one copy number of *CCL4La* or *CCL4Lb*. The red-colored Kaplan–Meier plot is for individuals who lack *CCL4La*, but have one or more copies of *CCL4Lb*; the green Kaplan–Meier plot is for individuals who have one copy each of *CCL4La* and *CCL4Lb*; and the blue Kaplan–Meier plot is for those who lack *CCL4Lb* and have one or more copies of *CCL4La*. The latter group represents the reference category. CI, confidence interval; N, number of children; P, significance value; r, Spearman's correlation coefficient; RH, relative hazard.

of *CCL3La* and *CCL3Lb*, *CCL3La* and *CCL4La*, *CCL3La* and *CCL4Lb*, *CCL3Lb* and *CCL4La* (Fig. 3c). In contrast, there was a highly statistically significant negative correlation between the copy number of *CCL4La* and *CCL4Lb* ($r = -0.30$, $P < 0.0001$; Fig. 3c).

On the basis of the observed inverse relationship between *CCL4La* and *CCL4Lb* (Fig. 3c) and because *CCL4La* but not *CCL4Lb*-derived transcripts are predicted to encode a classical chemokine (Fig. 1d), we surmised the following: those who had more 'functional' chemokine-encoding *CCL4La* copies than *CCL4Lb* copies might fare better in terms of their disease course than those who only possessed *CCL4Lb* copies. To test this possibility, we stratified HIV-infected children into three

groups as shown in Fig. 3d. Consistent with our hypothesis, possession of only *CCL4Lb* was associated with a four-fold faster rate of disease progression than those who only possessed *CCL4La* (compare blue and red Kaplan–Meier plots, Fig. 3d). By contrast, those who had one copy of *CCL4La* and *CCL4Lb* displayed an intermediate disease phenotype (green Kaplan–Meier plot, Fig. 3d). The Kaplan–Meier plot of individuals who had higher copy numbers of *CCL4La* than *CCL4Lb* was similar to those who only possessed *CCL4La* (data not shown). These findings underscored the beneficial and negative associations of *CCL4La* and *CCL4Lb* copies on HIV disease course, respectively. There were too few individuals who were null for *CCL3La* to conduct similar analyses.

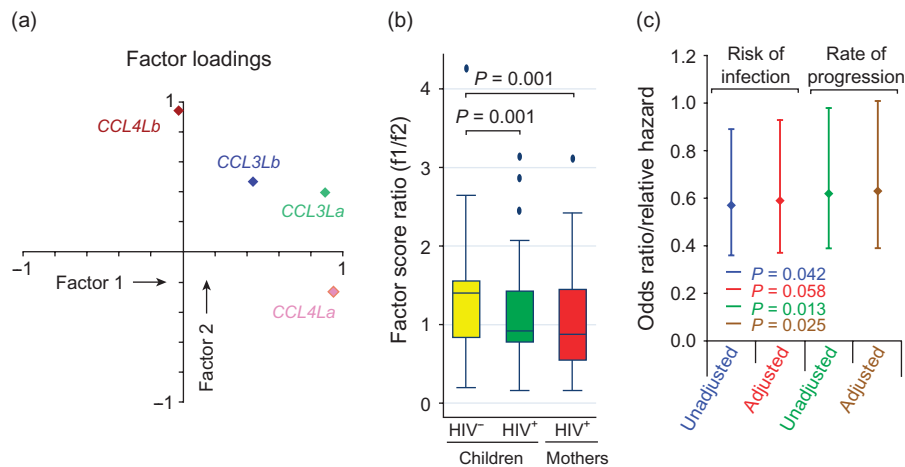


Fig. 4. Factor analysis in study individuals. (a) Results of principal components analyses. Two orthogonal factors (represented by abscissa and ordinate) were retained on the basis of an eigenvalue of more than one. The plot represents the varimax-rotated loadings for each of the components on the two factors (factors 1 and 2). Considering these loading patterns, the first factor represents mainly *CCL3La* and *CCL4La*, the two genes that transcribe functional chemokines, whereas factor 2 represents mainly *CCL4Lb*, which transcribes aberrantly spliced mRNA species. (b) Distribution of the factor 1/factor 2 (f1/f2) score ratio in study groups. HIV-positive children as well as their mothers had a significantly lower f1/f2 score ratio as compared with the HIV-negative children as assessed by Mann–Whitney test. For these analyses, we took the ratio of the scores for the first and second factors for a given study individual. As the factor scores were scattered over the interval (−2.21 and 4.27), we linearly transformed the raw factor scores by adding a constant of 2.5. This transformation converted all the factor scores into positive real numbers without affecting the original distribution of the factor scores. We then took the ratio of these positive factor scores. (c) Association of the log-transformed f1/f2 ratio with the risk of acquiring HIV (analyzed using logistic regression) and rate of disease progression (analyzed using Cox proportional hazards regression). The diamonds and error bars represent the point estimates and 95% CIs for OR (for risk of HIV acquisition) and relative hazards (for rate of disease progression). Results are from unadjusted and adjusted (for the receipt of prophylaxis) regression models. The numbers at the bottom show the significance values. CI, confidence interval; OR, odds ratio.

CCL3L–CCL4L components and HIV-AIDS susceptibility

The importance of accounting for the complexity imposed by the combinatorial gene content generated by variable CNV on the 17q12 was underscored by the observation that there was a positive correlation between the frequencies of each of the *CCL3L* and *CCL4L* genes except for the pair-wise comparison of *CCL4La* and *CCL4Lb* (Fig. 3c) and the contrasting disease-influencing effects associated with these two genes (Fig. 3d). This raised the possibility that the relative balance between the content of ‘protective’ versus ‘detrimental’ duplicated chemokine genes influences the HIV-AIDS-influencing phenotypic effect of the chemokine gene-rich 17q12 regions that have undergone segmental duplications. We used principal components analyses to address this possibility as well as to gain a greater understanding of the observed pattern of correlations between the chemokine gene copy numbers.

By factor analyses, we found that these four components loaded differentially onto a two-factor solution (Fig. 4a): *CCL3La* and *CCL4La* loaded heavily onto the first factor (factor 1), whereas the second factor (factor 2) was represented by *CCL4Lb* loading very highly onto it. Of note, *CCL3Lb* loaded almost equivocally on both the

factors suggesting that this is a distinct component. The degree of uniqueness of *CCL3La*, *CCL3Lb*, *CCL4La* and *CCL4Lb* was 0.05, 0.59, 0.04 and 0.11, further emphasizing that with regards to the copy number distribution, the copy number of *CCL3Lb* was uniquely different from the distribution of *CCL3La*, *CCL4La* and *CCL4Lb*. Thus, factor 1 largely reflects *CCL3La* and *CCL4La*, whereas factor 2 largely reflects *CCL4Lb*.

To assess whether biological interpretations made from the correlation patterns and principal components analyses were justifiable, we conducted the following analyses. Using the results from principal components analyses, we generated standardized scores for the first and second factor for each individual. On the basis of this, we surmised that a lower score for factor 1, which equates with a lower number of *CCL3La* and *CCL4La* copies would more likely associate with an HIV-positive status. Consistent with this, we observed that the median for the factor 1 score (in parenthesis) in HIV-negative children (0.25) was significantly higher than that found in HIV-positive children (0.13; $P = 0.042$ for comparison) and HIV-positive mothers (−0.43, $P = 0.0067$ for comparison). These observations suggested that a reduction in the overall gene content of *CCL3La* and *CCL4La* confers a differential risk of acquiring HIV.

We next examined whether the relative content of these two factors in a given individual was a determinant of the risk of acquiring HIV infection and progressing to AIDS. A ratio of the factors 1 (f1) and 2 (f2) scores (referred to here as f1/f2 score ratio) exceeding one indicates a relative enrichment of the first factor, whereas a value less than one indicates a relative enrichment of the second factor. We found that HIV-uninfected children had significantly higher values of the f1/f2 ratio compared with HIV-infected children and HIV-infected mothers (Fig. 4b). Using logistic regression analysis, we then determined if the risk of HIV acquisition is influenced by the f1/f2 score ratio. We found that each log-fold increase in the f1/f2 score ratio was associated with approximately 43 and 41% reduced likelihood of acquiring HIV before and after accounting for ZDV prophylaxis, respectively (Fig. 4c). Similarly and to a comparable extent, we found by using Cox proportional hazards regression analyses that a log-fold increase in the f1/f2 score ratio was associated with an approximately 38 and 37% decreased rate of progression to AIDS in the HIV-infected children before and after accounting for the receipt of prophylaxis, respectively (Fig. 4c).

Discussion

The present study has two major findings. First, they confirm and amplify the results of previous studies which showed that a low dose of *CCL3L* genes (referred previously as the *CCL3L1*-containing segmental duplication) is associated with an increased risk of acquiring HIV and progressing rapidly to AIDS. Furthermore, our results demonstrate that a low *CCL4L* gene dose has similar associations. These results add credence to the notion that these genetic determinants may influence epidemic spread of HIV. Successful transmission of HIV-1 depends on both the infectiousness of the HIV-positive individual and susceptibility of the HIV-negative individual. Infectiousness is in part dependent on the viral load [37,38], and previous studies [24,26,29–31] indicate that a low copy number of *CCL3L* is associated with a progressive HIV disease course and higher viral load. Thus, it follows that mother–child dyads in which both members have a low copy number of *CCL3L* should have the highest probability of transmission, which is what we observed in this study. In 12.4% of mother–child pairs, both the mother and the child possessed less than two *CCL3L* (or *CCL4L*) copies and 91% of these children had acquired HIV infection. This translated to an odds ratio of more than 10 for the risk of transmission, which is among the highest odds for transmission that we have observed, and an attributable fraction of 18.4% (95% confidence interval 6.1–30.6%). These results suggest that a substantial proportion of vertical transmission in our study individuals may be explained by mother–child dyads in which each member has a low *CCL3L/CCL4L*

dose. By analogy, we hypothesize that a similarly high proportion of transmission may occur among HIV-positive/HIV-negative sexual partner pairs when each member has a low *CCL3L* dose, a premise that is also supported by our modeling studies [39]. As there was complete concordance in the gene copy numbers of *CCL3L* and *CCL4L* in the mother–child pairs in which both mother and offspring had less than two *CCL3L* copies, these data also reflect the effects of the overall *CCL4L* gene copy number on transmission.

Second, our findings illustrate a chromosomal region whose genomic architecture is highly complex such that individuals do not inherit the same complement of *CCL3L* and *CCL4L* genes. However, we suggest that uncovering this complexity might be necessary to define the true phenotypic impact of these duplicated genes on HIV-AIDS susceptibility. This is exemplified by the finding that the copy numbers of *CCL4La* and *CCL4Lb* are inversely correlated and have opposing effects on AIDS progression rates, despite the fact that sequences of the coding exons of these two genes are identical [3,5]. Furthermore, we show that the balance between the copy numbers of the genes that transcribe classical versus aberrantly spliced *CCL3L* and *CCL4L* mRNA species influences HIV-AIDS susceptibility; a higher gene content of *CCL4Lb* (*CCL4L1*) or a lower content of *CCL3La* and *CCL4La* increased the risk of transmission and an accelerated disease course. Underscoring the negative influence of *CCL4Lb* on HIV acquisition, a previous study [9] also found that HIV-positive individuals were enriched for more copies of this gene compared with HIV-negative controls.

It is conceivable that there are additional tiers of genetic and mRNA complexity in this locus. We have identified novel 5' exons for *CCL3Lb*, a gene that was previously thought to only have two exons, which are homologous to exons 2 and 3 of *CCL3L1* or *CCL3L3*; for this reason, *CCL3Lb* was considered as a pseudogene [5]. We found that these novel 5' exons give rise to two alternatively (*CCL3Lb-v1* and *CCL3Lb-v2*) spliced transcripts. These transcripts contain chemokine-like domains, but are not predicted to encode classical chemokines, and additional studies will be required to uncover the functional properties of these *CCL3L* mRNA species.

The present findings have four implications. First, although *CCL4La* and *CCL4Lb* share 100% sequence identity in their coding sequences, the findings presented herein and those from our preliminary studies in other populations indicate that the pair-wise correlations among and between *CCL3L* and *CCL4L* genes, and the degree of the inverse correlation between the copy number of *CCL4La* and *CCL4Lb* genes may vary between populations. This suggests that genotype–phenotype association studies may need to account for

interpopulation differences in the genomic architecture of this locus.

The second implication of our results is that the assessment of *CCL4L* dose is capturing the sum of two genes (*CCL4La* and *CCL4Lb*) whose copy number frequencies are inversely related and who have opposing effects on HIV disease course. Thus, the true phenotypic impact of *CCL4La* and *CCL4Lb* cannot be made using *CCL3L* copy number as a proxy for *CCL4L* or by evaluation of the composite *CCL4L*. This might explain in part why previous studies may not have found an association between *CCL4L* copy number and HIV disease [40]. Third, the *CCL3Lb* copy number loaded weakly and equivocally on factor 1 or 2, indicating that this gene may influence HIV-AIDS pathogenesis by as yet unidentified means. Consistent with this possibility, we have found that *CCL3Lb* (*CCL3L2*) generates alternatively transcribed transcripts whose 5' exons differ from those of *CCL3La* (*CCL3L1* and *CCL3L3*) (Fig. 1c). Fourth, greater insights into the immune correlates linked to a high gene dose of specific *CCL3L* and *CCL4L* chemokine genes that confer protective effects may provide novel insights into the correlates of protection. The importance of elucidating these correlates is also highlighted by the recent observation that *CCL3L* copy number may influence susceptibility to Simian-AIDS in rhesus macaques [34,41].

In summary, we confirm and extend significantly prior results indicating that a low copy number of *CCL3L* influences risk of HIV acquisition and disease progression in a cohort of children exposed perinatally to HIV. We demonstrate a role for *CCL4L* CNV in HIV-AIDS susceptibility. However, we show that dissecting the combinatorial genomic complexity posed by varying proportions of distinct *CCL3L* and *CCL4L* genes among individuals is required to elucidate the complete phenotypic impact of this locus in HIV, and suggest that the balance between the copy number of the different *CCL3L* and *CCL4L* genes influences HIV-AIDS susceptibility. From a broader perspective of relevance to the CNV field, it might be important to determine whether the combinatorial genomic content generated by distinct genes within a copy number variable region determines disease susceptibility.

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L.S.K. and S.K.A. conceived the project, obtained funding for the study and provided expertise into different aspects of the study. L.S.K. and Z.A.C. enrolled patients, collected samples, conducted experiments and analyzed data. G.C. and W.H. designed the experimental protocols and analyzed data. R.S. helped establishing the PCR assays. G.G., S.M. and S.K.A. planned and performed the mRNA cloning and sequencing work. M.J.D., S.S.A. and R.A.C. provided critical conceptual input and analyzed data. S.K.A., H.K. and G.C. wrote the initial draft and all authors critically reviewed the manuscript and provided feedback. H.K. and S.K.A. conceived the statistical design.

There are no conflicts of interest.

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Genotyping methods

Nomenclature of *CCL3L* and *CCL4L* chemokine genes is as illustrated and described in the main text (Fig. 1a,b). DNA was isolated from EDTA-treated whole blood by using a Qiagen kit. The copy number of *CCL3L* (previously designated as the *CCL3L1*-containing segmental duplication and includes *CCL3L1/2/3*) was genotyped as described previously [1]. Similar Taqman based Real-time PCR assays were developed to genotype the copy number of the other chemokine genes. Briefly, the human housekeeping *beta-globin* (*BGB*) gene was used as an internal control as a gene with two copies per diploid genome (pdg). The A431 cell line was used as a standard for *CCL3La*, *CCL4L* and *CCL4La* with each gene possessing two copies pdg ([2] and data not shown). The K562 cell line was used as a standard for *CCL3Lb* and *CCL4Lb* with one and two copies pdg, respectively (data not shown). *CCL4La* and *CCL4Lb* were run in a duplex assay whereas the others were run in single-plex. Each sample was run in triplicate in three separate 384-well plates. Rounded average numbers were used for analysis and quality control procedure was applied as described previously [1]. Primer and probe sequences are listed in the table below.

Statistical methods

In this study, we examined the association of the overall *CCL3L* and *CCL4L* gene copy number and their components (*CCL3L1a*, *CCL3L1b*, *CCL4La*, and *CCL4Lb*) with two end-points: risk of HIV acquisition and rate of progression to AIDS. To summarize the correlation patterns across the components of the *CCL3L* and *CCL4L* genes we conducted factor analysis using the method of principal components. For this, we first extracted significant factors (defined as factors with an eigenvalue exceeding unity) and then applying a varimax rotation to the extracted orthogonal factors. In the next step, we generated factor scores for each extracted factor for each individual based on the results obtained from the previous step. We then determined the association of these factor scores with risk of infection and rate of progression. Greater details of the method of factor analysis are discussed below.

Factor analysis

Factor analysis provides an unbiased exploratory means to understand complex correlation patterns among several variables and to distill these complex patterns into a parsimonious mathematical solution called as factors. Highly correlated variables load onto a common factor and highly uncorrelated variables segregate onto different factors. We used a method of principal components to parse out the complex correlation pattern among the components of the duplicated genes on chromosome

17q12, i.e., *CCL3La*, *CCL3Lb*, *CCL4La* and *CCL4Lb* (shown in Fig. 4a). For deriving the factor solution we used a criterion of a minimum eigenvalue of 1.

Using this criterion we arrived at the following factor solution:

(principal factors; 2 factors retained)				
Factor	Eigenvalue	Difference	Proportion	Cumulative
1	1.98530	0.78218	0.6367	0.6367
2	1.20312	1.20969	0.3858	1.0225
3	-0.00657	0.05702	-0.0021	1.0204
4	-0.06358	.	-0.0204	1.0000
Factor Loadings				
Variable	1	2	Uniqueness	
<i>CCL3La</i>	0.97201	0.01438	0.05500	
<i>CCL3Lb</i>	0.58379	0.26223	0.59043	
<i>CCL4La</i>	0.76492	-0.60598	0.04768	
<i>CCL4Lb</i>	0.33853	0.87575	0.11847	

These results show that there were only two retained factors based on the four variables (genes) – in other words the correlation pattern of the components of the duplicated segment could be summarized using two factors. Since principal components analysis assumes that the factors are orthogonal (i.e., the factors are themselves uncorrelated), we next used the varimax rotation to arrive at an optimized factor solution as follows:

(varimax rotation)			
Rotated Factor Loadings			
Variable	1	2	Uniqueness
<i>CCL3La</i>	0.89057	0.38973	0.05500
<i>CCL3Lb</i>	0.43666	0.46787	0.59043
<i>CCL4La</i>	0.93992	-0.26243	0.04768
<i>CCL4Lb</i>	-0.02708	0.93851	0.11847

These results are depicted in Fig. 4a and suggest that the *CCL3La* and the *CCL4La* gene copy numbers loaded heavily on the first factor while the *CCL4Lb* gene copy number loaded onto the second factor. The *CCL4La* gene copy number also strongly negatively loaded onto the second factor. Interestingly, the *CCL3Lb* gene copy number loaded equivocally and moderately onto both the factors.

In the table of results from rotated factor loadings (see above), the uniqueness of each variable is a measure of the

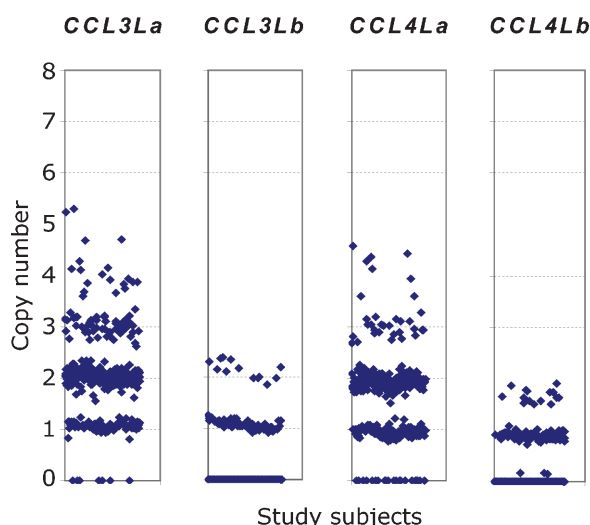


Fig. S1. Distribution of copy number of the *CCL3L* and *CCL4L* gene components, i.e., *CCL3La*, *CCL3Lb*, *CCL4La* and *CCL4Lb* among study subjects. Each dot represents the copy number of the indicated gene in the study subjects. Individual subjects are distributed along the abscissa, while the persons estimated copy number of the indicated genes is depicted along the ordinate. Note, the copy number for each individual cluster around the integers (e.g., 0, 1, 2 etc), demonstrating the precision of the PCR assay.

degree of correlation of the indicated gene copy numbers with the factors that were mathematically extracted. It can be seen that the uniqueness of *CCL3La*, *CCL4La* and *CCL4Lb* is low indicating that these gene copy numbers are strongly correlated with the two factors. However, the *CCL3Lb* is highly unique and only minimally loads onto either factor. Therefore, it can be surmised that the *CCL3Lb* gene copy number provides unique information that is distinct from that provided by the other three variables.

In the next step, to translate the results of factor analysis into clinically and genetically meaningful information, we generated factor scores for each individual. In theory, since the variables load onto factors it is possible to estimate the degree to which an individual might load onto that factor given that individual's data on the contributing variables. For example, let us assume that the standardized (z-value/score) results of the Taqman PCR assays for the four components were 1.5, 0.04, 1.2, and -0.5 for *CCL3La*, *CCL3Lb*, *CCL4La*, and *CCL4Lb*, respectively, then that individual's score for the first factor will be (based on the coefficients shown in the table above): $(0.89 \times 1.5) + (0.44 \times 0.04) + (0.94 \times 1.2) + (-0.03 \times -0.5) = 2.50$. The same individual's score on the second factor will be $(0.39 \times 1.5) + (0.47 \times 0.04)$

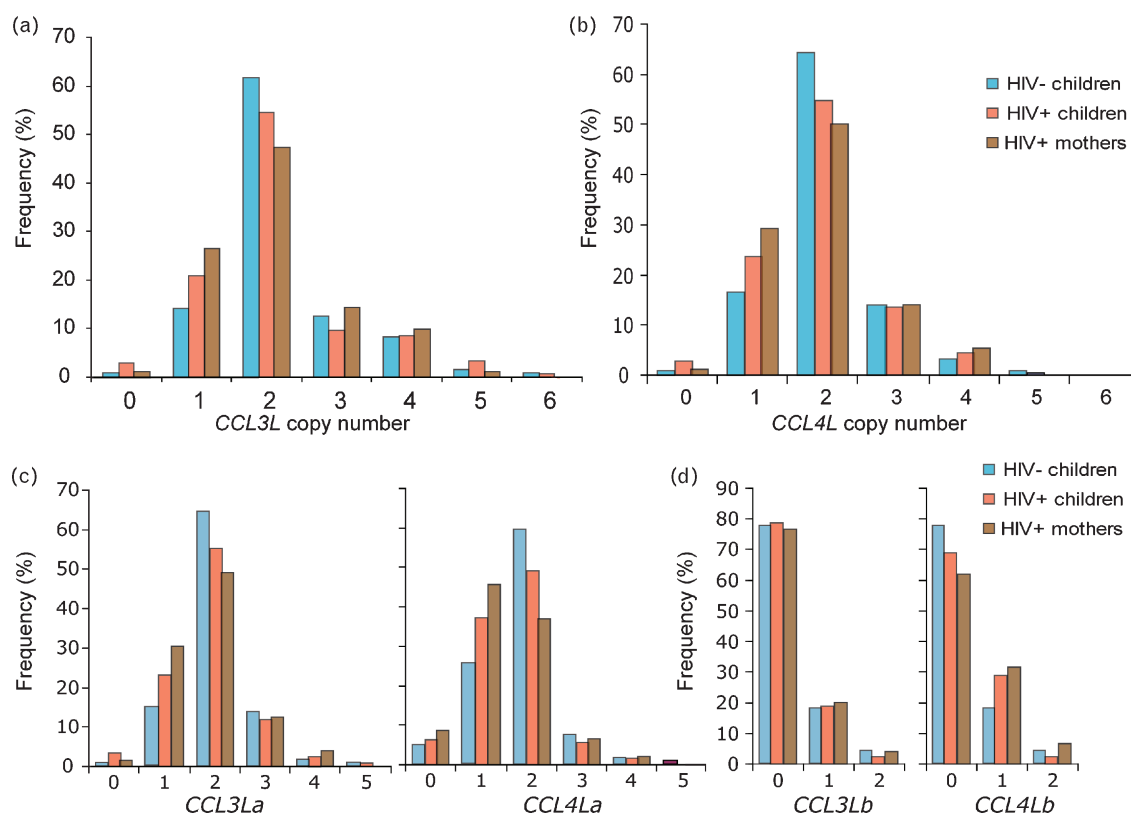


Fig. S2. Distribution of the *CCL3L* and *CCL4L* gene copy number among study subjects. Distribution of (a) *CCL3L* and (b) *CCL4L* copy number among the indicated study subjects. (c-d) Distribution of the copy numbers of each component of the *CCL3L* (c) and *CCL4L* (d) genes in the indicated study groups.

Supplementary Table 1. Primers and probes used for assays to quantify the gene copy number of *CCL3L* and *CCL4L* genes. *CCL3L* was quantified as described previously [1].

Gene	Primers/Probes	Oligonucleotide sequences
<i>CCL3La</i>	Sense primer	5'-GGGTATGACTTCTTGAACCGACAAA-3'
	Antisense primer	5'-GGTCTCTGTTTCTCTATGTGATCCA-3'
	Probe	6FAM-CATGAAGAGAGCTAAGAGAAC-MGBNFQ
<i>CCL3Lb</i>	Sense primer	5'-CATCCACTCGCTCACACCTGTA-3'
	Antisense primer	5'-GCGGTCGGCGGTGTCA-3'
	Probe	6FAM-AGAGTTGGGCTTATTCT-MGBNFQ
<i>CCL4L</i>	Sense primer	5'-CATGGTCAGGCAGAGGAAGATG-3'
	Antisense primer	5'-GCTTGCTCTTTTGGTTTGAAT-3'
	Probe	6FAM-TACCACAGGCAAGGGAT-MGBNFQ
<i>CCL4La and CCL4Lb</i>	Sense primer	5'-GGAAGATGCCTACCACAGGC-3'
	Antisense primer	5'-GCGCAGACTTGCTTGCC-3'
	<i>CCL4La</i> Probe	VIC-CTTGTTCTACaGATTCC-MGBNFQ ^a
	<i>CCL4Lb</i> Probe	6FAM-CTTGTTCTACgGATTCC-MGBNFQ ^a
<i>BGB</i> ^b	Sense primer	5'-TCGCTTTCTTGCTGTCCAATTCTA-3'
	Antisense primer	5'-ATGCTCAAGGCCCTTCATAATATCC-3'
	Probe	VIC-CCTAAGTCCAACCTAACTG-MGBNFQ

VIC and FAM, probe reporter fluorescent dyes. MGBNFQ, Molecular-Groove Binding Non-fluorescence Quencher hybridization probes which allow for using probes at lower melting temperature.

^aProbe sequences in lower case represent difference between *CCL4La* and *CCL4Lb*.

^b*BGB*, Human housekeeping *beta-globin* (*BGB*) gene.

$+(-0.26 \times 1.2) + (0.94 \times -0.5) = -0.18$. Thus, this individual will have a high score for the first factor and a negative score for the second factor.

Having generated the scores for each individual, we proceeded to study the association of the factor scores we created a composite factor score ratio by taking the ratio of the first factor score and second factor score. Conceptually, this ratio represents the expansion or shrinkage of the first factor score relative to the second factor score. However since both the factor scores can be distributed over the 2-dimensional real number space, we first converted these scores into a positive number by addition of a constant of 2.5. We then took the ratio of the positive factor scores as an indicator of the relative factor scores (factor 1/factor 2).

Characterization of novel exon 1-containing transcripts encoded by *CCL3Lb* (*CCL3L2*) gene

By using a variety of bioinformatics and molecular approaches, we generated enough data to support the fact that the described alternative spliced isoforms in Fig. 1c are expressed and contain the notated sequences as depicted in the Fig. 1c. Details about the sequences, methods and analysis can be obtained from ahuja@uthscsa.edu.

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